



2817

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date: May 5, 2004

Sonia V. McVean
Sonia V. McVean

PATENT
36856.603

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Takao MUKAI et al.	Art Unit: 2817
Serial No.: 10/066,717	Examiner: B. Summons
Filed: February 6, 2002	
Title: EDGE-REFLECTION SURFACE ACOUSTIC WAVE FILTER	

INFORMATION DISCLOSURE STATEMENT

Mail Stop DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of three (3) references cited in the enclosed Office Action issued in a corresponding German Patent Application. For the Examiner's convenience, we have enclosed an English translation of the German Office Action from the corresponding German Patent Application and a completed Form PTO-1449. The relevance of the non-English language of the German reference DE 199 22 124 is set forth in the English translation of the German Office Action. In addition, Applicants attached a copy of its U.S. equivalent, U.S. Patent No. 6,150,190.

The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application. Accordingly, no fee is necessary for the filing of this statement. Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages, including the petition fee under 37 C.F.R. § 1.17(p).

Applicants respectfully request that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: May 5, 2004



Attorneys for Applicant(s)

Joseph R. Keating
Registration No. 37,368

Christopher A. Bennett
Registration No. 46,710

KEATING & BENNETT LLP
10400 Eaton Place, Suite 312
Fairfax, VA 22030
(703) 385-5200

Substitute for form 1449/PTO

MAY 06 2004

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet **1** of **1****Complete if Known**

Application Number	10/066,717
Filing Date	February 6, 2002
First Named Inventor	Takao MUKAI
Art Unit	2817
Examiner Name	B. Summons
Attorney Docket Number	36856.603

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code 2(if known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	5,790,000	08/1998	Dai et al.	
	2	6,150,900	11/2000	Kadota et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number-Kind Code ⁵ (if known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	3	DE 199 22 124 A1	12/1999	GERMANY		

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

* Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Applicant unique citation designation number (optional). ² See Kind Codes of USPTO Patent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Abstract is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.